

## CHAPTER 4

### 4. WRITER IDENTIFICATION MODEL THROUGH SVM WITH EXISTING KERNELS

This chapter describes the implementation of a writer identification models using Support Vector Machine (SVM). The standard SVM algorithm builds a binary classifier by constructing a hyper plane which separates to classes of data. SVM automatically identifies a subset of informative points called support vectors and uses them to represent the separating hyper plane. Three independent experiments were carried out here based on three datasets and are explained with the flow of event like building the model, performance and evaluation in this chapter. The results of performance evaluation with various measures of the classifiers are also presented and the findings are summarized.

#### 4.1 MODEL I - WRITER IDENTIFICATION MODEL USING SUPPORT VECTOR MACHINE

In modeling Tamil Handwriting Writer Identification (THWI) [25], the essential tasks such as corpus preparation, preprocessing, feature extraction, building the model are carried out. In this work, Support Vector Machine based classifiers are developed using linear, polynomial and RBF kernels for multi class classification. Efficient writer identification models are built by tuning the regularization, degree, gamma parameters. The predictive performance of the classifiers is evaluated using various metrics like predictive accuracy, precision, recall, F-measure, time taken and the results are analyzed.

##### *Building the Model*

The training data set with 26000 instances are used for training SVM. SVM<sup>light</sup> is used for implementation. The training datasets and the test datasets are converted into the format required by SVM<sup>light</sup> [55]. Profile of the datasets is shown in Table 4.1.

**Table 4.1 Profile of the Datasets**

| <b>Dataset</b>                          | <b>Character</b> | <b>Word</b> | <b>Paragraph</b> |
|---|------------------|-------------|------------------|
| Total Number of Instances               | 30000            | 30000       | 30000            |
| Number of Instances in Training Dataset | 24000            | 24000       | 24000            |
| Number of Instances in Testing Dataset  | 6000             | 6000        | 6000             |
| Number of Features                      | 26               | 26          | 422              |
| Number of Class Labels                  | 1-300            | 1-300       | 1-300            |

Various kinds of kernels such as linear, polynomial and RBF kernel are used in SVM training with different parameter settings for  $d$ ,  $\gamma$  and  $C$  as regularization parameter. The parameters  $d$  and  $\gamma$  are associated with polynomial kernel and RBF kernel respectively. The values of the regularization parameter  $C$  is assigned between 0.5 and 50 for linear kernel. For polynomial and RBF kernels the value for  $C$  is assigned as 0.5, 1 and 5,  $d$  is assigned from 1 to 4 and  $g$  is taken from 0.5 to 5 respectively. It is found that the regularization parameter reaches a stable state for the value  $C = 5$ . Three independent writer identification models have been built. The sample screenshots of the learning and classification process are shown in Fig. 4.1 and Fig. 4.2.

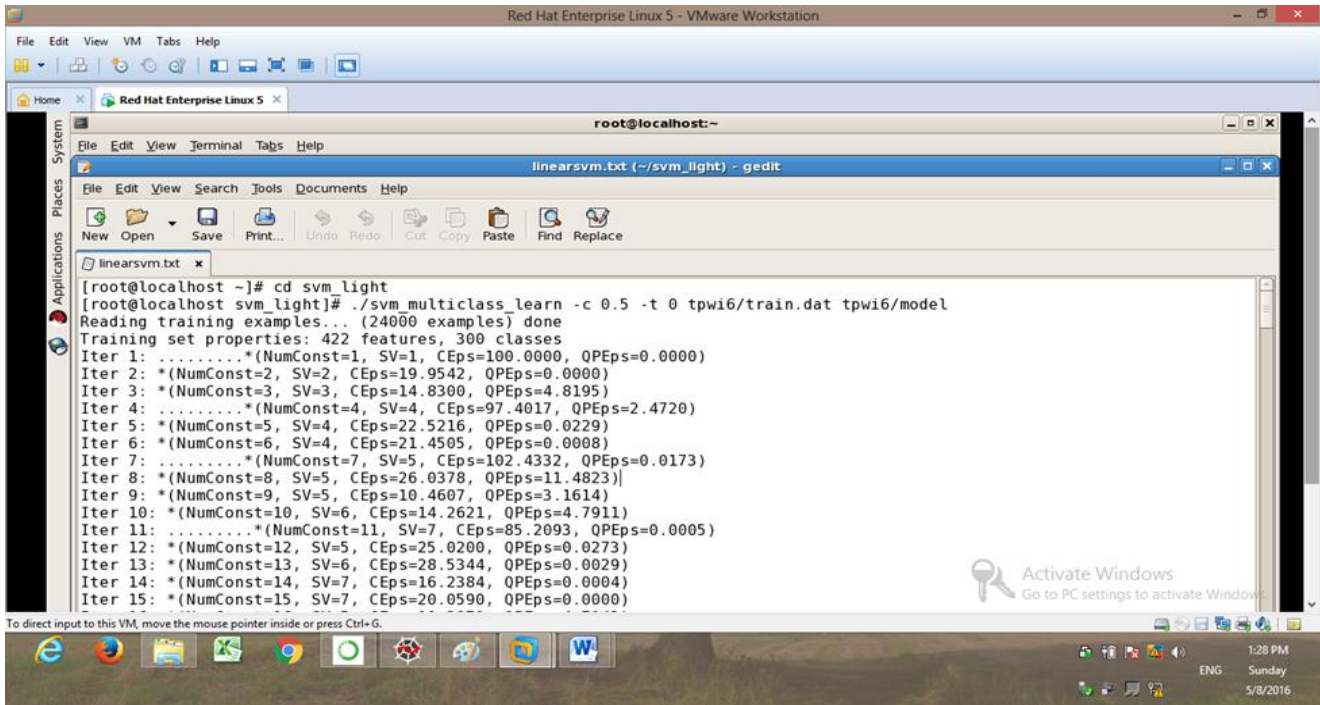


Fig. 4.1 Learning SVM with Linear Kernel

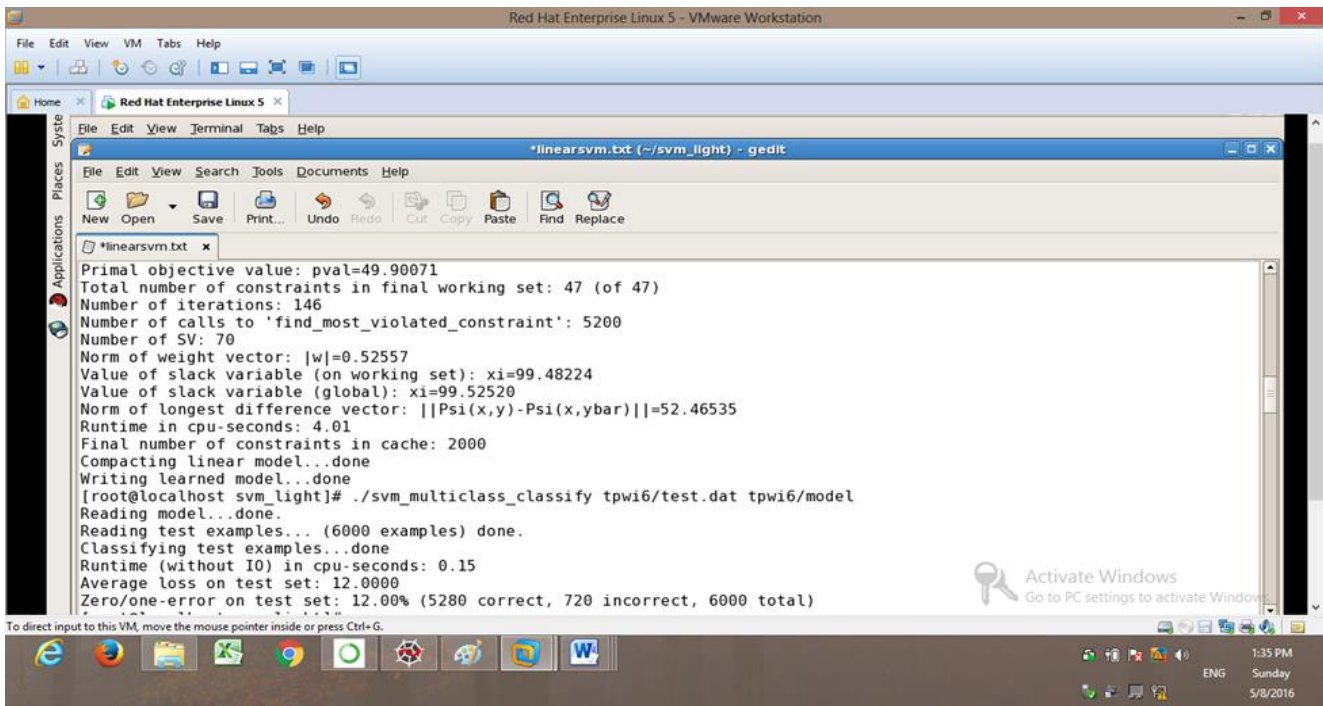


Fig. 4.2 Classification using Linear Kernel SVM Model

### ***Performance Evaluation***

The respective test sets are used to evaluate the performance of the writer identification models. Various evaluation metrics like precision, recall, F-measure and accuracy have been considered and the results are obtained. The results of SVM based writer identification models built using TWINC (character) dataset with linear, polynomial and RBF kernels is shown in Table 4.2 - Table 4.4 and the comparative performance is shown in Table 4.5 and illustrated in Fig. 4.3.

**Table 4.2 Results of SVM with Linear Kernel (Character)**

| <b>Parameters</b>    | <b>C=25</b> | <b>C=15</b> | <b>C=30</b> |
|----------------------|-------------|-------------|-------------|
| No. of CCI           | 4236        | 4032        | 4110        |
| No. of ICCI          | 1764        | 1968        | 1890        |
| No. of SV            | 121         | 138         | 129         |
| Accuracy (%)         | 70.6        | 67.2        | 68.5        |
| Time Taken (in secs) | 0.02        | 0.01        | 0.02        |
| Precision            | 0.732       | 0.698       | 0.711       |
| Recall               | 0.962       | 0.891       | 0.922       |
| F-measure            | 0.821       | 0.788       | 0.803       |

**Table 4.3 Results of SVM with RBF Kernel (Character)**

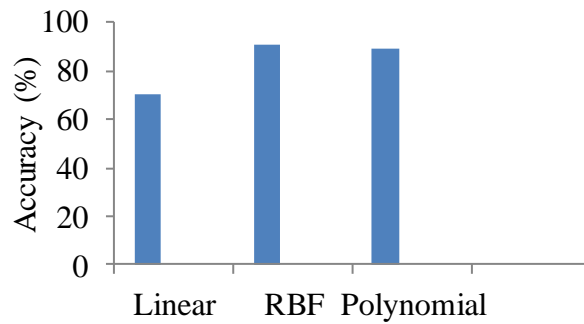
| Parameters              | C=0.5 |      |      | C=1  |      |      | C=5  |      |      |
|-------------------------|-------|------|------|------|------|------|------|------|------|
|                         | 1.5   | 3.5  | 4    | 1.5  | 3.5  | 4    | 1.5  | 3.5  | 4    |
| No. of CCI              | 5436  | 5340 | 5418 | 5400 | 5340 | 5412 | 5436 | 5400 | 5346 |
| No. of ICCI             | 564   | 660  | 582  | 600  | 660  | 588  | 564  | 600  | 654  |
| No. of SV               | 115   | 123  | 116  | 117  | 123  | 116  | 115  | 117  | 122  |
| Accuracy (%)            | 90.6  | 89   | 90.3 | 90   | 89   | 90.2 | 90.6 | 90   | 89.1 |
| Time Taken<br>(in secs) | 0.03  | 0.02 | 0.03 | 0.02 | 0.02 | 0.03 | 0.03 | 0.02 | 0.02 |
| Precision               | 0.91  | 0.88 | 0.90 | 0.91 | 0.88 | 0.92 | 0.91 | 0.91 | 0.79 |
| Recall                  | 0.88  | 0.90 | 0.89 | 0.83 | 0.90 | 0.92 | 0.88 | 0.83 | 0.95 |
| F-measure               | 0.89  | 0.89 | 0.78 | 0.87 | 0.89 | 0.92 | 0.89 | 0.87 | 0.85 |

**Table 4.4 Results of SVM with Polynomial Kernel (Character)**

| Parameters              | C=0.5 |      | C=1  |      | C=5  |      |
|-------------------------|-------|------|------|------|------|------|
|                         | 3     | 4    | 3    | 4    | 3    | 4    |
| No. of CCI              | 4590  | 5352 | 5118 | 4752 | 5340 | 5352 |
| No. of ICCI             | 1410  | 648  | 882  | 1248 | 660  | 648  |
| No. of SV               | 139   | 122  | 132  | 134  | 126  | 122  |
| Accuracy (%)            | 76.5  | 89.2 | 85.3 | 79.2 | 89   | 89.2 |
| Time Taken (in<br>secs) | 0.4   | 0.62 | 0.8  | 0.4  | 0.61 | 0.8  |
| Precision               | 0.77  | 0.87 | 0.79 | 0.79 | 0.85 | 0.90 |
| Recall                  | 0.95  | 0.91 | 0.95 | 0.84 | 0.89 | 0.90 |
| F-measure               | 0.85  | 0.89 | 0.86 | 0.81 | 0.75 | 0.85 |

**Table 4.5 Consolidated Results of all Three SVM Models (Character)**

| <b>Kernels</b>          | <b>Linear</b> | <b>RBF</b> | <b>Polynomial</b> |
|-------------------------|---------------|------------|-------------------|
| No. of CCI              | 4236          | 5436       | 5352              |
| No. of ICCI             | 1764          | 564        | 648               |
| No. of SV               | 121           | 115        | 122               |
| Accuracy (%)            | 70.6          | 90.6       | 89.2              |
| Time Taken<br>(in secs) | 0.02          | 0.03       | 0.62              |
| Precision               | 0.732         | 0.91       | 0.87              |
| Recall                  | 0.962         | 0.88       | 0.91              |
| F-measure               | 0.821         | 0.89       | 0.89              |



**Fig. 4.3 Comparative Results of Accuracy (Character)**

From the above comparative analysis it is observed that the RBF kernel based prediction model (90.6%) shows high accuracy than the polynomial (89.2%) and linear kernel (70.6%) SVMs and average time taken to build the model is high in SVM with polynomial kernel (0.62) than the other models. Other kernels such as linear and RBF takes only 0.02 and 0.03 respectively. As far as machine learning is concerned, accuracy plays a major role in evaluating the performance of the predictive

models than time taken. Hence it is concluded that SVM with RBF kernel (90.6%) based writer recognition model out performs well.

The results of second experiment of SVM based writer identification models built using TWINW (word) dataset with linear, polynomial and RBF kernels is shown in Table 4.6 – Table 4.8 and the comparative performance is shown in Table 4.9 and illustrated in Fig. 4.4.

**Table 4.6 Results of SVM with Linear Kernel (Word)**

| <b>Parameters</b>    | <b>C=5</b> | <b>C=12</b> | <b>C=24</b> |
|----------------------|------------|-------------|-------------|
| No. of CCI           | 4500       | 4320        | 4392        |
| No. of ICCI          | 1500       | 1680        | 1608        |
| No. of SV            | 143        | 168         | 152         |
| Accuracy (%)         | 75         | 72          | 73.2        |
| Time Taken (in secs) | 0.03       | 0.02        | 0.03        |
| Precision            | 0.705      | 0.733       | 0.744       |
| Recall               | 0.749      | 0.968       | 0.971       |
| F-measure            | 0.726      | 0.834       | 0.842       |

**Table 4.7 Results of SVM with RBF Kernel (Word)**

| Parameters           | C=0.5 |      |       | C=1   |      |       | C=5   |      |       |       |
|----------------------|-------|------|-------|-------|------|-------|-------|------|-------|-------|
|                      | G     | 1.5  | 3.5   | 4     | 1.5  | 3.5   | 4     | 1.5  | 3.5   | 4     |
| No. of CCI           |       | 5628 | 5520  | 5538  | 5628 | 5520  | 5526  | 5628 | 5520  | 5526  |
| No. of ICCI          |       | 372  | 480   | 462   | 372  | 480   | 474   | 372  | 480   | 474   |
| No. of SV            |       | 128  | 136   | 133   | 128  | 136   | 136   | 128  | 136   | 133   |
| Accuracy (%)         |       | 93.8 | 92    | 92.3  | 93.8 | 92    | 92.1  | 93.8 | 92    | 92.1  |
| Time Taken (in secs) |       | 0.05 | 0.06  | 0.05  | 0.05 | 0.06  | 0.05  | 0.05 | 0.06  | 0.05  |
| Precision            |       | 0.92 | 0.926 | 0.916 | 0.92 | 0.926 | 0.906 | 0.92 | 0.926 | 0.906 |
| Recall               |       | 0.99 | 0.916 | 0.920 | 0.99 | 0.916 | 0.724 | 0.99 | 0.916 | 0.724 |
| F-measure            |       | 0.96 | 0.920 | 0.29  | 0.96 | 0.920 | 0.804 | 0.96 | 0.920 | 0.804 |

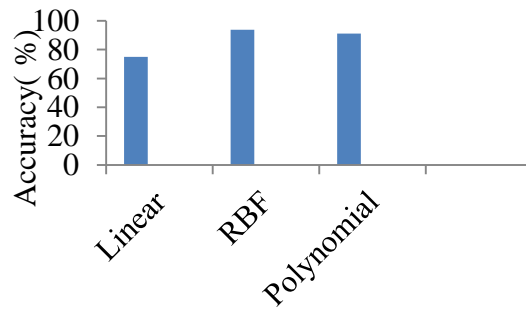
**Table 4.8 Results of SVM with Polynomial Kernel (Word)**

| Parameters           | C=0.5 |       | C=1   |      | C=5   |       |       |
|----------------------|-------|-------|-------|------|-------|-------|-------|
|                      | D     | 3     | 4     | 3    | 4     | 3     | 4     |
| No. of CCI           |       | 4710  | 5472  | 5040 | 5472  | 5460  | 5472  |
| No. of ICCI          |       | 1290  | 528   | 960  | 528   | 540   | 528   |
| No. of SV            |       | 175   | 141   | 152  | 135   | 141   | 149   |
| Accuracy (%)         |       | 78.5  | 91.2  | 84   | 91.2  | 91    | 91.2  |
| Time Taken (in secs) |       | 0.44  | 0.69  | 0.9  | 0.44  | 0.69  | 0.9   |
| Precision            |       | 0.755 | 0.919 | 0.75 | 0.919 | 0.892 | 0.919 |
| Recall               |       | 0.722 | 0.879 | 0.90 | 0.879 | 0.948 | 0.879 |
| F-measure            |       | 0.738 | 0.895 | 0.81 | 0.895 | 0.918 | 0.895 |



**Table 4.9 Consolidated Results of all Three SVM Models (Word)**

| <b>Kernels</b>       | <b>Linear</b> | <b>RBF</b> | <b>Polynomial</b> |
|----------------------|---------------|------------|-------------------|
| No. of CCI           | 4500          | 5628       | 5472              |
| No. of ICCI          | 1500          | 372        | 528               |
| No. of SV            | 143           | 128        | 141               |
| Accuracy (%)         | 75            | 93.8       | 91.2              |
| Time Taken (in secs) | 0.03          | 0.05       | 0.69              |
| Precision            | 0.705         | 0.92       | 0.919             |
| Recall               | 0.749         | 0.99       | 0.879             |
| F-measure            | 0.726         | 0.96       | 0.895             |



**Fig. 4.4 Comparative Results of Accuracy (Word)**

From the above comparative analysis it is observed that the RBF kernel based prediction model (93.8%) shows high accuracy than the polynomial (91.2%) and linear kernel (75%) SVMs and average time taken to build the model is high in SVM with polynomial kernel (0.69) than the other models. Other kernels like linear and RBF takes only 0.03 and 0.05 secs respectively. Hence it is concluded that SVM with RBF kernel (93.8%) based writer recognition model out performs well.

The results of next experiment of SVM based writer identification models built using TWINP (paragraph) dataset with linear, polynomial and RBF kernels is shown in Table 4.10 – Table 4.12 and the comparative performance is shown in Table 4.13 and illustrated in Fig. 4.5.

**Table 4.10 Results of SVM with Linear Kernel (Paragraph)**

| <b>Parameters</b>    | <b>C=0.5</b> | <b>C=1</b> | <b>C=5</b> |
|----------------------|--------------|------------|------------|
| No. of CCI           | 5160         | 5160       | 5280       |
| No. of ICCI          | 840          | 840        | 720        |
| No. of SV            | 165          | 360        | 462        |
| Accuracy (%)         | 86%          | 86%        | 88%        |
| Time Taken (in secs) | 3.07         | 3.70       | 4.01       |
| Precision            | 0.796        | 0.792      | 0.942      |
| Recall               | 0.956        | 0.958      | 0.989      |
| F-measure            | 0.869        | 0.866      | 0.964      |

**Table 4.11 Results of SVM with RBF Kernel (Paragraph)**

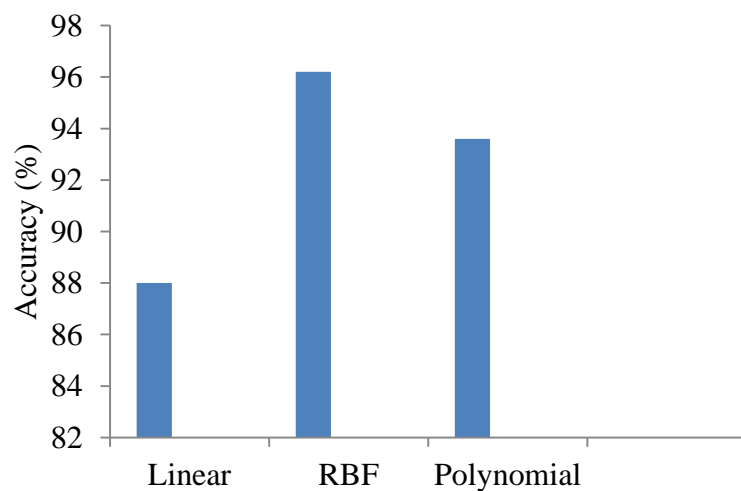
| Parameters           | C=0.5 |       |       | C=1   |       |       | C=5   |       |       |
|----------------------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
|                      | 0.5   | 2     | 3     | 0.5   | 2     | 3     | 0.5   | 2     | 3     |
| No. of CCI           | 5688  | 5646  | 5574  | 5688  | 5520  | 5574  | 5688  | 5520  | 5688  |
| No. of ICCI          | 312   | 354   | 426   | 312   | 480   | 426   | 312   | 480   | 312   |
| No. of SV            | 162   | 298   | 397   | 162   | 178   | 251   | 129   | 184   | 111   |
| Accuracy (%)         | 94.8  | 94.1  | 92.9  | 94.8  | 92    | 92.9  | 94.8  | 92    | 94.8  |
| Time Taken (in secs) | 12.45 | 13.52 | 13.51 | 12.52 | 11.66 | 12.78 | 12.47 | 12.62 | 12.71 |
| Precision            | 0.962 | 0.960 | 0.930 | 0.951 | 0.928 | 0.922 | 0.954 | 0.924 | 0.966 |
| Recall               | 0.722 | 0.868 | 0.653 | 0.609 | 0.762 | 0.577 | 0.649 | 0.725 | 0.646 |
| F-measure            | 0.825 | 0.912 | 0.767 | 0.743 | 0.837 | 0.710 | 0.772 | 0.813 | 0.775 |

**Table 4.12 Results of SVM with Polynomial Kernel (Paragraph)**

| Parameters           | C=0.5   |         | C=1     |         | C=5     |         |
|----------------------|---------|---------|---------|---------|---------|---------|
|                      | 1       | 2       | 1       | 2       | 1       | 2       |
| No. of CCI           | 4800    | 5616    | 5160    | 5616    | 5406    | 5616    |
| No. of ICCI          | 1200    | 384     | 840     | 384     | 594     | 384     |
| No. of SV            | 458     | 115     | 336     | 110     | 380     | 123     |
| Accuracy (%)         | 80      | 93.6    | 86      | 93.6    | 90.1    | 93.6    |
| Time Taken (in secs) | 2536.49 | 8654.58 | 2838.27 | 8635.12 | 2624.16 | 7256.89 |
| Precision            | 0.807   | 0.93    | 0.796   | 0.93    | 0.90    | 0.93    |
| Recall               | 0.874   | 0.99    | 0.958   | 0.99    | 0.973   | 0.99    |
| F-measure            | 0.838   | 0.95    | 0.869   | 0.95    | 0.935   | 0.95    |

**Table 4.13 Consolidated Results of all Three SVM Models (Paragraph)**

| <b>Kernels</b>       | <b>Linear</b> | <b>RBF</b> | <b>Polynomial</b> |
|----------------------|---------------|------------|-------------------|
| No. of CCI           | 5280          | 5688       | 5616              |
| No. of ICCI          | 720           | 312        | 840               |
| No. of SV            | 462           | 162        | 115               |
| Accuracy (%)         | 88            | 94.8       | 93.6              |
| Precision            | 0.942         | 0.962      | 0.93              |
| Recall               | 0.989         | 0.722      | 0.99              |
| F-measure            | 0.964         | 0.825      | 0.95              |
| Time Taken (in secs) | 4.01          | 12.71      | 8654.58           |



**Fig. 4.5 Comparative Results of Accuracy (Paragraph)**

From the above comparative analysis it is observed that the RBF kernel based prediction model (94.8%) shows high accuracy than the polynomial (93.6%) and linear kernel (88%) SVMs and average time taken to build the model is high in SVM with polynomial kernel (8654.58) than the other models. Other kernels, linear and RBF takes only 4.01 and 12.71 secs respectively. Hence it is concluded that SVM with RBF kernel (94.8%) based writer recognition model out performs well.

### ***Findings***

It is proved that increase in number of instances in the training datasets helps to build efficient models. It is also observed that RBF kernel based models built using all three datasets are more appropriate and reasonable for writer identification. It is found that prediction accuracy is high in case of SVM models with RBF kernel than other kernels. The novel idea of combining local and global features designed for building the classifier in paragraph text images is found even more decisive in identifying the writing pattern than the existing models. The comparative performance analysis shows that handwriting with more words and sentences i.e., paragraph text offers more contributive features and hence the corresponding model yields more accuracy in distinguishing the individuals than character and word text.

## **4.2 SUMMARY**

This chapter demonstrates the modeling of writer identification as the problem of learning multiclass classification that suits to identify writer effectively. It describes the implementation of Tamil writer identification using SVM for three levels of handwritings character, word and paragraph text images. The experiments carried out in SVM<sup>light</sup> are described and the results are presented in tables and charts. The findings of this work are also summarized in this chapter.

### **Remarks**

1. A paper titled “Discovering Tamil Writer Identity Using Global and Local Features of Offline Handwritten Text” has been published in the Journal of International Review on Computers and Software (IRECOS), Italy, Vol. 8 No 9, Page 2080 – 2087, 2013.
2. A paper titled “Prediction of Writer Using Tamil Handwritten Document Image Based on Pooled Features”, has been published in International Journal of World Academy of Science, Engineering and Technology, International science index, Vol. 9, No. 6, Page 1481 – 1487, 2015.

3. A paper titled “Detection of a Person Using Descriptive Features of Tamil Handwriting and Pattern Learning”, has been published in International Journal of Applied Engineering Research, (**Scopus Indexed**), ISSN 0973-4562, Vol 10(21), 2015, pp 41902-41909.